

# Risk on a Page

Risk Title : Accessibility Strategy

Risk ID : 89

Description : If the Plan is not updated this year then the LA is liable to Equality Act discrimination appeals or Ombudsman complaints

Risk Level : Directorate

Responsible Officer : Brian.Roles

Councillor : Robert Smith

Last Update : 26/08/2021

Historical RAG :	Sep-20	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-21
	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER

### Current Control Measures

Review And Update Accessibility Strategy And Prepare And Plan The Undertaking Of Appopriate Access Audits Of Schools Which Will Assist In Planning Investment To Secure The Best Outcomes - Requires Resource Capacity From Aln.

Last Update

29/07/2021

Risk Response

Treat

Projected Completion

30/11/2021

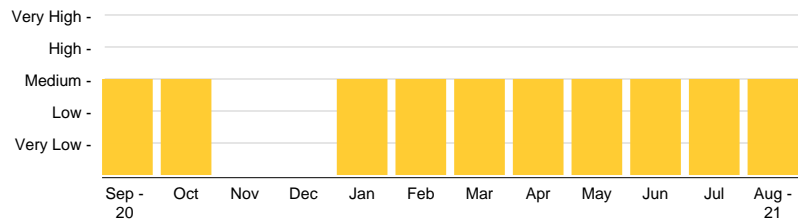
### Closed Control Measures

- {Historic Informaation Migrated} -
- ¿ Review And Update Accessibility Strategy
- ¿ Carry Out Condition Surveys
- ¿ Identify Lead Officer

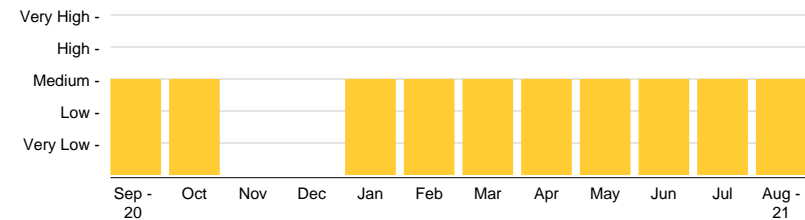
Closure Date

01/01/2020

Historical Impact : Medium



Historical Likelihood : Medium



# Risk on a Page

Risk Title : School Cloud Oracle Access

Risk ID : 91

Description : If schools continue to be excluded from the corporate Oracle Cloud project then there will be extra costs and compatibility issues if they need to join at a later date, and a risk that they will not be able to operate financially if the current SIMS FMS system goes down, as support for this old system is limited and will end when SIMS moves to their own cloud model. Under best value, a new procurement process needs to be undertaken for data support for schools, particularly as it is a costly service.

Risk Level : Directorate

Responsible Officer : Helen.Morgan-Rees

Councillor : Robert Smith

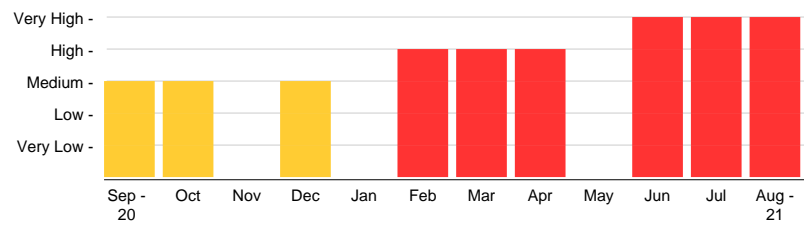
Last Update : 26/08/2021

Historical RAG :	Sep-20	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-21
	AMBER	AMBER		AMBER	RED	RED	RED	RED	RED	RED	RED	RED

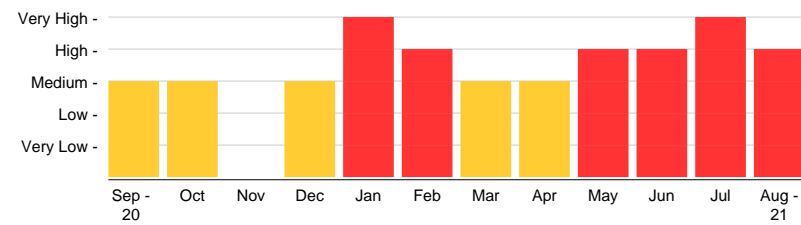
Current Control Measures	Last Update	Risk Response	Projected Completion
Continue To Link With Management Systems Team On Future Sustainability Of Sims.	29/07/2021	Tolerate	31/10/2021
Investigation Of Alternative Pupil Management Systems As Alternative To Sims	29/07/2021	Treat	31/10/2021
Officers In The Education Directorate Continue To Flag To Cmt, Project Team Dealing With Oracle, Finance Etc. Of The Importance Of Including Schools When Scoping Out The New Cloud System. Continue To Monitor The Support Given By Sims To The Existing Product Used By Schools (Sims Fms) As Strong Likelihood That Support Will Cease.	29/07/2021	Tolerate	31/10/2021

Closed Control Measures	Closure Date
Continue To Highlight To Oracle Project Team The Need To Consider Schools In Specification Gathering	30/11/2020
Control Measure Closed - Highlight Potential Implications With Cmt	20/02/2020

Historical Impact : **Very High**



Historical Likelihood : **High**



# Risk on a Page

Risk Title : Pupil attainment and achievement

Risk ID : 94

Description : If pupils do not receive a very good education then they will not achieve the right qualifications and skills to take advantage of the Swansea Bay City Deal and to contribute effectively to the economic prosperity of the city.

Risk Level : Corporate

Responsible Officer : Helen.Morgan-Rees

Councillor : Robert Smith

Last Update : 30/07/2021

Historical RAG :	Sep-20	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-21
	AMBER	AMBER	AMBER	AMBER		AMBER	AMBER				AMBER	

Current Control Measures	Last Update	Risk Response	Projected Completion
Support Pupils To Return Safely To School In Sept 2020 Through The Health, Welfare & Community Education Stream Of The Councils Covid-19 Recovery Plan.	24/07/2020		14/09/2020
Offer Childcare In Schools During Term-Time To Key Workers And The Most Vulnerable Children During The Covid-19 Pandemic.	24/07/2020		14/09/2020
Ensure That Pupils Eligible For Free School Meals Benefit From The Offer Of A Fortnightly Bacs Payment Or Weekly Food Parcel During The Covid-19 Pandemic.	24/07/2020		14/09/2020
Further Enhance The Continuity Of Learning Programme.	16/07/2020		29/10/2020
Commitment To Invest In Education.	10/03/2020		31/12/2024
School Improvement Strategy And Partnership.	21/11/2019		31/12/2024
Attendance Strategy.	21/11/2019		31/12/2024
Strong Leadership Commitment To Influencing The Erw Agenda.	21/11/2019		31/12/2024
New Eotas Strategy & Programme.	21/11/2019		31/12/2024
Commissioning Review On Aln.	21/11/2019		31/12/2024

Current Control Measures	Last Update	Risk Response	Proposed Completion
Education Skills Co-Ordinator Appointed.	21/11/2019		31/12/2020
Dedicated Scrutiny Panel To Scrutinise Education Work And Performance.	21/11/2019		31/12/2024
Strong School Building Programme.	21/11/2019		31/12/2024
Renewed Focus Through The Child Protection Board On The Educational Achievement Of Lac.	21/11/2019		31/12/2024
Effective Partnership Working.	21/11/2019		31/12/2024
Good School-To-School Support.	21/11/2019		31/12/2024
Corporate Priority.	21/11/2019		31/12/2024
Positive Engagement And Support From Cabinet And Council.	21/11/2019		31/12/2024

#### Closed Control Measures

#### Closure Date

Schools Have Returned To Normal Operations From 12 April Onwards And Have Been Supported Well To Provide Onsite Teaching And Learning. However, Year Groups Are Still Asked To Self-Isolate Within This Reporting Period. In Order To Mitigate Against The Risk Of Insufficient Evidence To Determine Qualification Grades, Schools Have Contingency Measures To Offer Remote And Blended Learning Opportunities. Swansea Schools Have Been Under Pressure To Evidence And Assess Learner Attainment During A Difficult Covid-19 Period But Have Adapted Well To Put Learners First To Ensure They Get The Correct Grades.	30/07/2021
Professional Learning Networks Continue To Develop A Blended And Remote Learning Offer. Focus On Recovery Of Operations Is Being Developed Via Multi Agency Meetings And Networks. Loss Of Learning Due To Covid Will Be A Key Focus Of Recovery Plans.	14/05/2021
Phased Returns To Face To Face Learning Have Begun And Planning Work Is Refocussing To Recovery.	30/06/2021
{Historical Information Migrated} - Positive Engagement And Support From Cabinet And Council. √ Commitment To Invest In Education. √ Corporate Priority. √ Good School-To-School Support. √ Effective Partnership Working. √ School Improvement Strategy And Partnership. √ New Eotas Strategy & Programme. √ Attendance Strategy. √ Renewed Focus Through The Child Protection Board On The Educational Achievement Of Lac. √ Strong School Building Programme. √ Strong Leadership Commitment To Influencing The Erw Agenda. √ Commissioning Review On Aln. √ Dedicated Scrutiny Panel To Scrutinise Education Work And Performance. √ Education Skills Co-Ordinator Appointed.	01/01/2020
The Current Evidence Suggest That The Continuity Of Learning Plan In Swansea Is Working Well. There Is Evidence That Switching To Remote Learning Or A Blended Learning Offer Has Become Normalised. With Large Number Of Pupils Self-Isolating The Education Offer Has Been Adapted. When Pupils Are In School, Time Is Maximised To Enable Them To Understand How To Switch To A Different Type Of Teaching And Learning.	30/11/2020

## Closed Control Measures

Appendix A

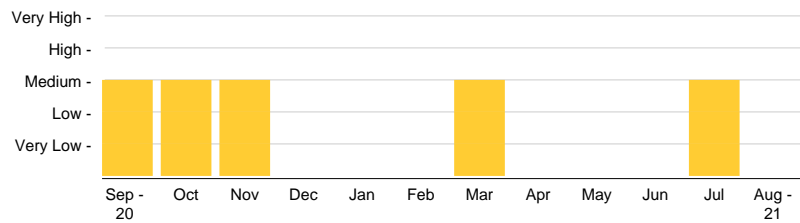
Since The Disruption To Education In March 2020, The Plans For A Safe Return To School Have Matured. As A Result, Around 88% Attendance Rate Seen In The First Three Weeks Of September. Although There A Shorter Term Disruptions For Schools, The Successful Return To Education Means That We Have Minimised Risk To Learning And Education. The Continuity Of Learning Plan And Policy Means That All Schools In Swansea Are Developing Remote Learning And Blended Learning Opportunities.

30/09/2020

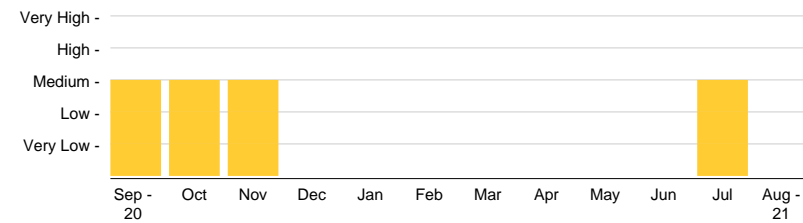
Offer A Safe Return To School For Learners During The Covid-19 Pandemic Through 'Check In, Catch-Up And Prepare' Sessions.

17/07/2020

Historical Impact :



Historical Likelihood :



# Risk on a Page

Risk Title : Band B - Programme funding risks

Risk ID : 96

Description : IF we are unable to gain approval to detailed business cases and timely access to the full funding envelope approved in principle by Welsh Government, THEN the investment in schools capital will not address all the identified priority needs.

Risk Level : Directorate

Responsible Officer : Brian.Roles

Councillor : Robert Smith

Last Update : 26/08/2021

Historical RAG :	Sep-20	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-21
	RED	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER

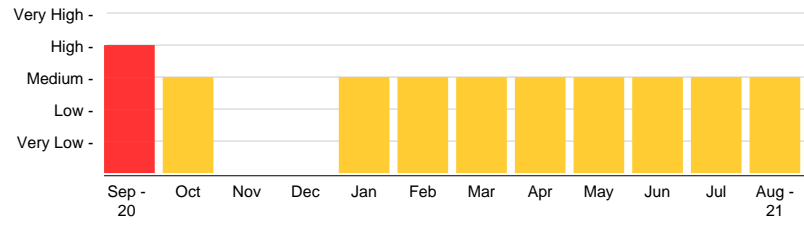
### Current Control Measures

	Last Update	Risk Response	Projected Completion
Cmt Is Ensuring Oversight Of The Programme.	30/04/2021	Treat	31/03/2026
Continuing Constructive Engagement With Wg Officials. Robust Business Cases Submitted In Good Time.	30/04/2021	Treat	31/03/2026
Robust Governance Arrangements For Band B.	30/04/2021	Treat	31/03/2026
Coherent And Consistent County-Wide Strategy And Detailed Plans For Early Investment Priority Areas.	30/04/2021	Treat	31/03/2026

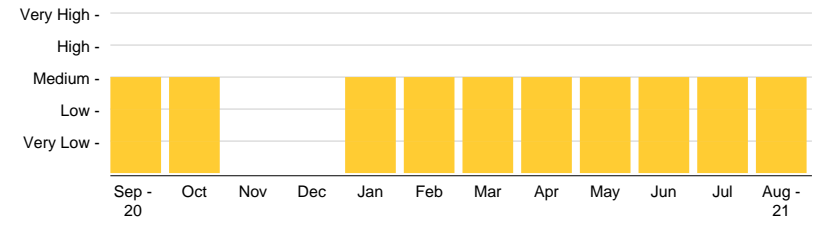
### Closed Control Measures

	Closure Date
{Historic Information Migrated} - - Robust Governance Arrangements For Band B - Coherent And Consistent County-Wide Strategy And Detailed Plans For Early Investment Priority Areas - Continuing Constructive Engagement With Wg Officials - Cmt Is Ensuring Oversight Of The Programme	01/01/2020

Historical Impact : **Medium**



Historical Likelihood : **Medium**





# Risk on a Page

Risk Title : Management of provision of ALN in line with the ALNET Act 2018

Risk ID : 100

Description : IF there is an insufficient provision available to meet identified additional learning needs across the age range 0-25 THEN the authority will face increased legal, provision and placement costs.

Risk Level : Directorate

Responsible Officer : Alison.Lane2

Councillor : Robert Smith

Last Update : 27/07/2021

Historical RAG :	Sep-20	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-21
	RED	RED	RED	RED	RED	RED	RED	RED		RED	AMBER	

### Current Control Measures

Current Control Measures	Last Update	Risk Response	Projected Completion
Map Additional Learning Needs For The 0-3 And Post 16 Age Ranges As Well As The Provision That Is Available Across The Authority Offered By Health, Social Services, Education And The Third Sector As Well As Non-Maintained Settings.	27/07/2021	Treat	31/12/2021
Awareness Training For Post-16 Providers In Social Services.	25/06/2021	Treat	31/12/2021
To Work Across Service Areas To Develop A Joint Response To Meet The Needs Of Severe And Complex Learners That Display Significantly Challenging Behaviour To Avoid Increasing Costs Associated With Out Of County And Independent Placements As Well As Ensure That Learner Wellbeing Is Maximised By Enabling Education Within Their Local Community.	25/06/2021	Treat	30/09/2021
Interim Arrangements To Increase Specialist Placements	25/06/2021	Treat	01/09/2021
Secure Flexible Grant Funding To Enable Assessment Of 16-25 Age Range.	25/06/2021	Treat	31/08/2021

### Closed Control Measures

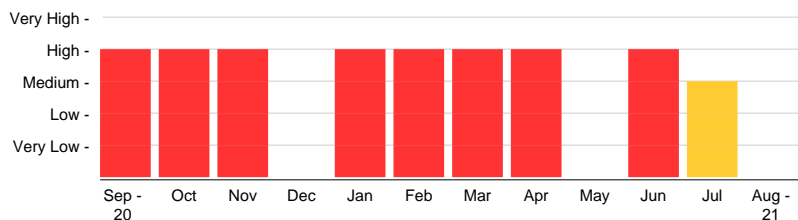
Closed Control Measures	Closure Date
Improve Mainstream School Capacity To Meet The Needs Of Learners With More Severe Aln	27/07/2021
Work Closely With Family Support Services And People Commissioning Group To Secure Grant Funded Specialist Posts For 0-3 That Can Be Used To Identify And To Support Need Flexibly.	27/07/2021
Work Closely With Social Services And Health To Include 0-3 And 19-25 With Aln In Their Commissioning Reviews And Planning So That There Is A Robust Local Authority Offer.	27/07/2021

Closed Control Measures

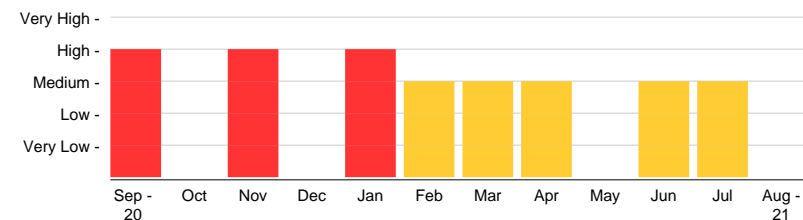
Appendix A

Stf Review To Ensure That Demand Matches Placements (Has Now Been Amalgamated Into Specialist Placement Control Measure).	26/02/2021
Secure Core Funding For Eyalnlo As A Statutory Role.	26/02/2021
Review Current Aln Strategy And Implementation Plan	26/02/2021
02/09/19	01/01/2020
<ul style="list-style-type: none"> <li>¿ Review Current Aln Strategy And Implementation Plan</li> <li>¿ Work Closely With Social Services And Health To Include 0 ¿ 3 And 19 ¿ 25 With Aln In Their Commissioning Reviews And Planning So That There Is A Robust Local Authority Offer.</li> <li>¿ Work Closely With Family Support Services And People Commissioning Group To Secure Grant Funded Specialist Posts For 0 ¿ 3 Which Can Be Used To Identify And To Support Need Flexibly.</li> <li>¿ Secure Core Funding For Eyalnlo As A Statutory Role.</li> <li>¿ Secure Flexible Grant Funding To Enable Assessment Of 16 ¿ 25 Age Range.</li> <li>¿ Provide Training To Early Years Providers To Improve Capacity And Reduce Referrals For La Maintained Idps.</li> <li>¿ Awareness Training For Post-16 Providers In Social Services.</li> </ul>	
19/06/19: We Need To Map Additional Learning Needs For The 0-3 And Post 16 Age Ranges As Well As The Provision That Is Available Across The Authority Offered By Health, Social Services, Education And The Third Sector As Well As Non-Maintained Settings. To Work With Local Fe Colleges To Improve The Capacity For Them To Include Learners With More Severe And Complex Needs As Part Of Their Post 16 Offer. Work With Early Years Non-Maintained Settings As Well As Central Early Years Teams And Flying Start To Enable Early Identification And Increased Capacity To Meet Needs In An Integrated Way. Further Details Are Set Out In The Aln Strategy And Implementation Plan.	
Provide Training To Early Years Providers To Improve Capacity And Reduce Referrals For La Maintained Idps.	21/08/2020
Work With Local Fe Colleges To Improve The Capacity For Them To Include Learners With More Severe And Complex Needs As Part Of Their Post 16 Offer.	21/08/2020
Work With Early Years Non-Maintained Settings As Well As Central Early Years Teams And Flying Start To Enable Early Identification And Increased Capacity To Meet Needs In An Integrated Way.	21/08/2020

Historical Impact :



Historical Likelihood :



# Risk on a Page

Appendix A

Risk Title : Violence and aggression towards staff by pupils at the PRU.

Risk ID : 130

Description : IF the current level of violence and aggression towards staff by pupils does not reduce, THEN there is a significant risk that a member of staff or another pupil is likely to be seriously hurt resulting in legal action against the Council and an impact on how the PRU is perceived by the public.

Risk Level : Directorate

Responsible Officer : Kate.Phillips2

Councillor : Robert Smith

Last Update : 20/07/2021

	Sep-20	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-21
Historical RAG :	AMBER	AMBER		AMBER		AMBER			AMBER	AMBER	AMBER	

### Current Control Measures

Revision Of Staffing And Curriculum Offer Across Ks3  
All Pupils Provided With A Key Worker

**Last Update**

25/06/2021

**Risk Response**

Treat

**Projected Completion**

31/10/2021

Parental Engagement And Support Being Sought In Meetings.  
Greater Family Support To Be Provided Via The Development Of The Well-Being Team As Part Of The New Staff Structure  
Greater Synergy To Be Forged In Links Between Pru Well-Being Team And Social Services Ehh

24/05/2021

Treat

31/12/2021

Review Of Use Of Reasonable Force To Manage Aggression And Violence In The Pru To Be Conducted As Part Of A Broader Review Of The Use Of Current Mapa Programme

24/05/2021

Treat

31/12/2021

New Build Provision Is Able To Offer Greater Scope To De-Escalate Pupils And Reduce The Level Of Aggression And Violence

24/05/2021

Tolerate

31/12/2021

Move To The New Maes Derw Site Will Allow More Space And Bespoke Provision To Better Support Pupils When They Become Angry/Upset/Frustrated.  
Review Of Curriculum Offer Will Provide A More Creative And Hands On Learning Provision For Pupils Who Struggle To Cope With Class Based Learning

03/05/2021

Treat

31/12/2021

### Closed Control Measures

**Closure Date**

Freeze On New Admissions To Support The Centre In Stabilising The Behaviour Of Pupils Demonstrating High Levels Of Aggression And Violence

03/04/2020

New Behaviour Policy Being Implemented.

31/08/2020

Pupils Being Excluded For Assaults On Staff And Pupils.

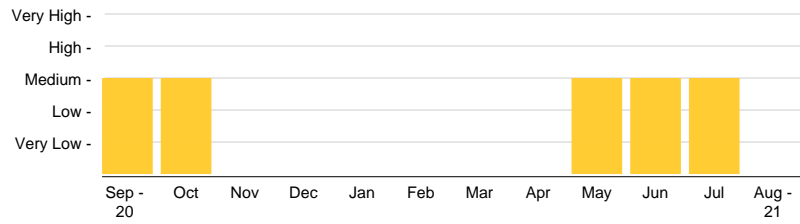
31/08/2020

{Historical Information Migrated}

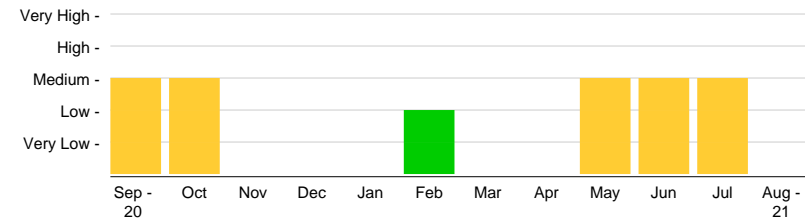
01/01/2020

New Behaviour Policy Being Implemented. Pupils Being Excluded For Assaults On Staff And Pupils. Parental Engagement And Support Being Sought In Meetings.

Historical Impact :



Historical Likelihood :



# Risk on a Page

Risk Title : Band B - Special School Project

Risk ID : 263

Description : IF a strategy and timeline is not established for special school provision THEN there is a risk that the authority will fail to meet its responsibilities in relation to ALN, will be unable to fully implement several elements of the ALN Strategy, and will continue to have to place an increasing number of pupils in out of county and independent provision leading to continued and increased budget overspends, and negatively impacting on pupil and family wellbeing and safeguarding.

Risk Level : Directorate

Responsible Officer : Brian.Roles

Councillor : Robert Smith

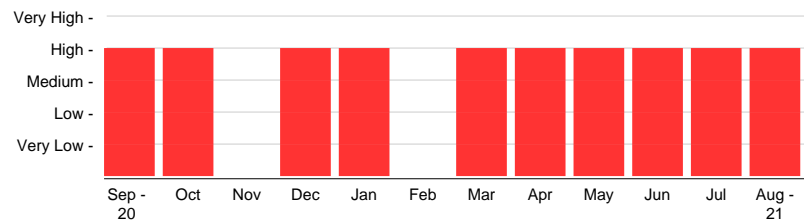
Last Update : 26/08/2021

Historical RAG :	Sep-20	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-21
	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED	RED

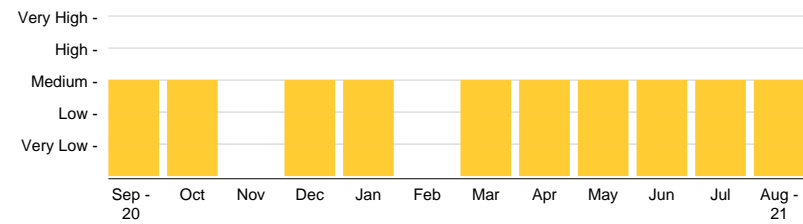
Current Control Measures	Last Update	Risk Response	Projected Completion
Continue To Develop And Seek Wg Support For Strategic Outline Case For Enhanced Single New Build Special School To As Far As Possible Seek To Protect Available Capital Funding Within Band B Envelope	26/08/2021	Treat	01/10/2021
Develop Proposal To Progress With Actions Necessary To Initially Create A Further 14 Special School Places Once Arfryn Site Vacated (Without Need For Statutory Process), And To Proceed With A Statutory Proposal To Increase Places Further Within The Constraints Of The Site And Facilities, As Part Of A Longer Term Phased Strategy To Enhance Special School Provision Within Swansea	26/08/2021	Treat	31/03/2022

Closed Control Measures	Closure Date
Seek; Agreement To A Revised Project Timeline, Agreement Or Otherwise To The Strategic Outline Business Case To Enable The Submisison Of The Soc And Project Development To Enable Delivery By 2026	31/03/2021
Strategic Case And Timeline Review Undertaken December 2020. Further Feedback To Cmt And Cabinet Due February 2021	26/02/2021
Control Measure Closed - Superseded By Other Measures - Paper Considering Options And Education And Economic Impact To Be Completed And Shared For Review.	04/03/2020

Historical Impact : **High**



Historical Likelihood : **Medium**



# Risk on a Page

Risk Title : Commissioning ALN services

Risk ID : 278

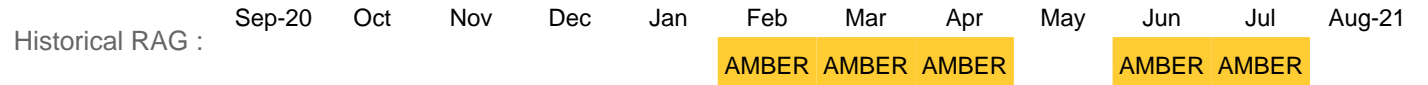
Description : If we do not develop robust procedures for commissioning external services for ALN provision, then we are not following corporate commissioning guidance.

Risk Level : Directorate

Responsible Officer : Alison.Lane2

Councillor : Robert Smith

Last Update : 27/07/2021



**Current Control Measures**

Review Staffing To Oversee Commissioning Process For Aln.

**Last Update**

27/07/2021

**Risk Response**

Treat

**Projected Completion**

31/08/2021

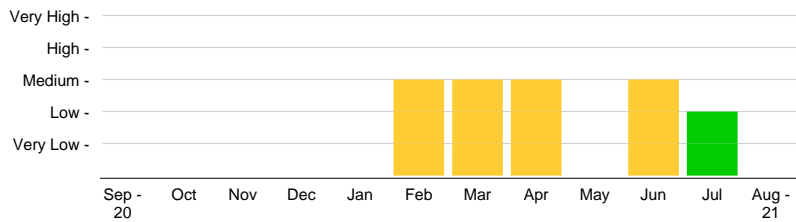
Aln Process Development - Commissioning

25/06/2021

Treat

31/08/2021

Historical Impact :



Historical Likelihood :

